

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<b>Application Number</b>	09/545040	<b>Docket Number</b>	CNTR.1568
<b>Filed</b>	4/7/2000	<b>Group Art Unit</b>	2183
<b>Examiner</b>	Aimee J Li	<b>Customer No.</b>	23669
<b>Application Title</b>	METHOD AND APPARATUS FOR SPECULATIVELY FORWARDING STOREHIT DATA IN A HIERARCHICAL MANNER		
<b>First Named Inventor</b>	Gerard M. Col		

### REQUEST FOR PATENT TO BE CORRECTED TO STATE NAME OF ASSIGNEE UNDER 37 CFR § 3.81(b)

Attn: Certificate of Corrections Branch  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Kindly correct the above-referenced U.S. Patent to state the name of the assignee to:

**IP-FIRST LLC                      Fremont, California**

Pursuant to 37 CFR § 3.81(b) and MPEP 1481.01, requestor:

- A. states that the attached assignment and notice of recordation, which show the assignee at the city and state indicated above, was submitted for recordation as set forth in 37 CFR § 3.11 on 04/07/2000, which is before the issuance of the patent on 6/20/2006;
- B. includes the attached request for a certificate of correction under 37 CFR § 1.323, which was previously submitted along with the fee set forth in 37 CFR 1.20(a); and
- C. includes the processing fee set forth in 37 CFR 1.17(i).

Respectfully submitted,

/E. Alan Davis/

By: \_\_\_\_\_

**E. ALAN DAVIS**  
Registration No. 39,954  
Tel: (512) 301-7234

11/21/2006

Date: \_\_\_\_\_

Attachments:

- 1) Assignment to IP-FIRST LLC executed April 7, 2000
- 2) Notice of Recordation of above-referenced Assignment
- 3) Previously submitted Certificate of Correction and accompanying certificate of correction transmittal
- 4) PTO communication Re: Request for Certificate of Correction dated November 16, 2006

## ASSIGNMENT

WHEREAS, I, the undersigned inventor (or one of the undersigned joint inventors), of residence as listed, having invented certain new and useful improvements as below entitled, for which application for United States Letters Patent is made, the said application having been executed on even date herewith;

AND WHEREAS, IP-First, LLC together with any successors, legal representatives, or assigns thereof, with its principal office at 1045 Mission Court, Fremont, CA 94539, wants to acquire the entire right, title and interest in and to the said invention(s), and to the said application and any Letters Patent that may issue thereon;

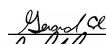
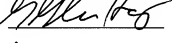
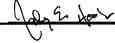
NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledge, I have sold, assigned, transferred and set over, and do hereby sell, assign, transfer and set over to the said IP-First, LLC its successors and assigns, the entire right, title and interest in and to the said invention and in and to the said application and all patents which may be granted thereon, and all divisions, reissues, substitutions, continuations, and extensions thereof; and I/we hereby authorize and request the Commissioner of Patents, and any officials of foreign countries whose duty it is to issue patents on applications, to issue all patents for said invention, or patents resulting therefrom, insofar as my interest in concerned, to the said IP-First, LLC as assignee of my entire right, title and interest;

I ALSO HEREBY sell and assign to IP-First, LLC its successors and assigns, my foreign rights to the invention disclosed in said application, in all countries of the world, including the right to file applications and obtain patents under the terms of the International Convention for the Protection of Industrial Property, and of the European Patent Convention, and further agree to execute any and all patent applications, assignments, affidavits, and any other papers in connection therewith necessary to perfect such patent rights;

AND I HEREBY covenant that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith;

AND I HEREBY further covenant that I will communicate to said IP-First, LLC or to its successors, assigns and legal representatives, any facts known to me respecting said invention, and at the expense of said IP-First, LLC testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, reissue and substitute applications, make all lawful oaths, and generally do everything possible to aid said IP-First, LLC its successors, assigns and nominees to obtain and enforce proper patent protection for said invention in all countries.

IN WITNESS WHEREOF, I hereunto set my hand this day and year:

TITLE OF INVENTION		METHOD AND APPARATUS FOR SPECULATIVELY FORWARDING STOREHIT DATA	
NAME OF INVENTOR	RESIDENCE (City, State)	SIGNATURE	DATE
Gerard M. Col	11311 Dead Oak Ln. Austin, Texas 78759		4/7/00
G. Glenn Henry	411 Lake Cliff Trail Austin, Texas 78746		4/7/00
Rodney E. Hooker	13 Casa Verde Austin, Texas 78734		4/7/00

After recording, return Assignment to: ATTN: JAMES W. HUFFMAN  
106 Morning Cloud, Austin, TX 78734



**UNITED STATES DEPARTMENT OF COMMERCE**

**Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JUNE 23, 2000

PTAS

JAMES W. HUFFMAN  
106 MORNING CLOUD  
AUSTIN, TX 78734



\*101337099A\*

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/07/2000

REEL/FRAME: 010725/0858  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

COL, GERARD M.

DOC DATE: 04/07/2000

ASSIGNOR:

HENRY, G. GLENN

DOC DATE: 04/07/2000

ASSIGNOR:

HOOKER, RODNEY E.

DOC DATE: 04/07/2000

ASSIGNEE:

IP-FIRST LLC  
1045 MISSION COURT  
FREMONT, CALIFORNIA 94539

SERIAL NUMBER: 09545040

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

010725/0858 PAGE 2

LAWAN FLETCHER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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<b>Examiner</b>	Aimee J. Li	<b>Customer No.</b>	23669
<b>Application Title</b>	METHOD AND APPARATUS FOR SPECULATIVELY FORWARDING STOREHIT DATA IN A HIERARCHICAL MANNER		
<b>First Named Inventor</b>	Gerard M. Col		

## CERTIFICATE OF CORRECTION TRANSMITTAL

Attn: Certificate of Corrections Branch  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

This is a request by the Patentee for issuance of a Certificate of Correction for the above-identified U.S. Patent under 37 CFR 1.323. The mistake for which a correction is sought is not the fault of the Patent and Trademark Office and is:

- ☒ of a clerical nature,  
☐ of a typographical nature, or  
☐ a mistake of minor character.

The correction does not involve changes which would either constitute new matter or require reexamination.

Transmitted herewith for filing are:

- ☒ Certificate of Correction fee required under 37 C.F.R § 1.20(a)  
☒ Certificate of Correction

### Details

The attorney, agent, or firm listing on page 1 of the patent should read:

E. Alan Davis  
James W. Huffman

The assignee name and residence on page 1 of the patent should read:

IP-First, LLC                      Fremont, CA

IP-First, LLC is a corporation or other private group entity.

In the issue fee transmittal of 04/27/2006, the above noted information was inadvertently omitted.

The Certificate of Corrections Branch is encouraged to contact the undersigned practitioner by telephone if any questions arise which would otherwise delay issuance of a Certificate of Correction.

Respectfully submitted,  
/E. Alan Davis/

By: \_\_\_\_\_

**E. ALAN DAVIS**  
Registration No. 39,954  
Tel: (512) 301-7234

7/18/2006  
Date: \_\_\_\_\_

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 7065632

APPLICATION NO.: 09/545040

ISSUE DATE : 6/20/2006

INVENTOR(S) : Gerard M. Col  
G. Glenn Henry

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Page 1, Attorney, Agent, or Firm entry should read:

E. Alan Davis  
James W. Huffman

Page 1, Assignee Name and Residence should read:

IP-First, LLC Fremont, CA

### MAILING ADDRESS OF SENDER (Please do not use customer number below):

E. ALAN DAVIS (Registration No. 39,954)  
5828 Van Winkle Lane  
Austin, TX 78739

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450; DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*





UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
www.uspto.gov

Date

November 14, 2006

Patent No. 7,065,632  
Inventor(s) Gerard M. Col et al.  
Issued June 20, 2006  
Docket No. CNTR.1568

Re: Request for Certificate of Correction

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of 37 CFR 1.322 and/or 1.323.

Assignees' names and addresses (assignment data) printed in a patent are based *solely* on information supplied in the appropriate space for identifying the assignment data, i.e., item 3 of the Fee(s) Transmittal Form PTOL-85B. After payment of the issue fee, correction of assignment data submitted on the PTOL-85B can only be done by Certificate of Correction under 37 CFR 1.323, with a request under 37 CFR 3.81(b).

A request for a patent to be corrected to state the name of the assignee must:

- A. state that the assignment was submitted for recordation as set forth in 37 CFR 3.11 before issuance of the patent;
- B. include a request for a certificate of correction under 37 CFR 1.323 along with the fee set forth in 37 CFR 1.20(a); and
- C. include the processing fee set forth in 37 CFR 1.17(i).

If the request is granted, Certificates of Correction Branch will be notified that a Certificate of Correction may be issued.

See Manual of Patent Examining Procedure, Section 1481.01 (Rev. 3) (Oct. 2005).

*Applicant has not included items A and/or C above, accordingly, the request for Certificate of Correction to add or change the assignee data is dismissed.*

Any request under 37 CFR 3.81(b) should be directed to the following address or facsimile number:

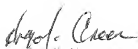
By mail: Mail Stop PETITIONS  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

By hand: Customer Service Window  
Mail Stop Petitions  
Randolph Building  
401 Dufany Street  
Alexandria, VA 22314

By fax: 571-273-8300  
ATTN: Office of Petitions

If a fee (currently \$100) was previously submitted for consideration of a Request for Certificate of Correction, under CFR 1.323, to correct assignment data, no additional fee is required.

Any inquiry concerning this communication should be directed to Ms. A. Green at (703) 308-9380 ext. 123.



For Cecelia Newman  
Decisions & Certificates  
of Correction Branch

(703) 308-9390 or (703) 308- *9380 ext. 123*

E. Alan Davis  
5828 Van Winkle Lane  
Austin, TX 78739

CBN/arg